



Canadian Lawyers Liability Assurance Society

2021/2022 Renewal Application for
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

Note: The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.

Please answer **ALL** questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Osler, Hoskin & Harcourt LLP

2. Address of principal office: PO Box 50, First Canadian Place, 100 King St. W, Suite 6200
Toronto ON M5X 1B8

Phone: (416) 362-2111 Fax: (416) 862-6666

3. Address, phone, and fax numbers of other office(s):

4. Management or service companies, date(s) established, and services provided:

5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☐ no

If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.



6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

7. Attached, as Appendix A, is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☐ yes ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of February 15, 2021:
- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels, and lawyer consultants).
 - b) Number of patent & trademark agents (who are not lawyers).
 - c) Number of other non-lawyer consultants.
 - d) Number of paralegals.
 - e) Number of other employees.
 - f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels, or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.



9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>	<u>Last Year</u>
a) Corporate and Commercial Law	_____ %	56.29 %
b) Criminal Law	_____ %	0 %
c) Family Law	_____ %	0 %
d) Intellectual Property	_____ %	4.02 %
e) Labour Law	_____ %	1.52 %
f) Litigation	_____ %	15.20 %
g) Real Estate	_____ %	3.73 %
h) Securities Law	_____ %	6.81 %
i) Tax Matters	_____ %	11.48 %
j) Wills, Estates, Trust	_____ %	0 %
k) Other (please specify)	_____ %	0.95 %

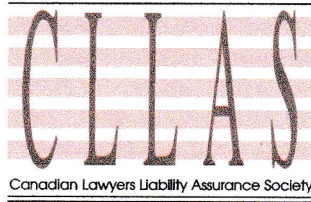
10. Have any of the lawyers, or non-lawyer consultants listed in Appendices B and C, or former lawyers, or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended, or disbarred from practice since the date of the Firm's most recent CLLAS application?

☐ yes ☐ no

If "yes", please provide full details:

11. Attached, as Appendix D, is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify and update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes, coverage for lawyers providing Professional Services from a U.S. office, as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached, as Appendix F, is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2020. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **Notices on this application are not considered proper notice of a claim.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved in excess of \$500,000.



Note: All claims or notices require the following details: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim including damages sought, amount paid (legal & indemnity, and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes ☐ no

If "yes", please indicate preferred limit option:

☐ \$10M xs \$160M ☐ \$20M xs \$160M
☐ \$30M xs \$160M ☐ \$40M xs \$160M
☐ \$50M xs \$160M ☒ \$60M xs \$160M

If you currently buy the maximum limits available (i.e., \$250MM including the umbrella layer) would you be interested in purchasing an additional umbrella layer of \$50MM?
(Note that this question is for planning purposes only.)

☒ Interested ☐ Not Interested

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach, with Appendix I, a copy of the Firm's 2021 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: _____

(Must be signed by a Partner of the Firm)

Name of Signatory: _____

David Morritt

(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: _____

March 5, 2021

OSLER HOSKIN & HARCOURT LLP
LIST OF OFFICES
AS OF MARCH 1, 2021

- 1 **Toronto**
100 King Street West, Suite 6200
1 First Canadian Place, P.O. Box 50
Toronto, Ontario M5X 1B8

- 2 **Ottawa**
340 Albert Street
Suite 1900
Ottawa, Ontario K1R 7Y6

- 3 **Montréal**
1000 de la Gauchetière Street West
Suite 2100
Montréal, Québec H3B 4W5

- 4 **Calgary**
Suite 2700
Brookfield Place
225 - 6th Avenue S.W.
Calgary, Alberta T2P 1N2

- 5 **Vancouver**
1055 West Hastings Street
Suite 1700, Guinness Tower
Vancouver, BC V6E 2E9

- 6 **New York**
620 8th Avenue
36th Floor
New York, N.Y. 10018
U.S.A.

Predecessor Firms

Osler, Hoskin & Harcourt LLP

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

[illegible]

APPENDIX B

Active Members of the Firm as of February 15, 2021

Name of Firm

Osler, Hoskin & Harcourt LLP

Updated as of (Enter Date):

February 15, 2021

	CANADA						OUTSIDE OF CANADA ^{/5}				
	B.C.	Alberta	Ontario	Quebec	Nova Scotia	Other Provinces (Please specify, change heading)	U.S.	China	South Africa	Other Countries (Please specify, change heading)	Other Countries (Please specify, change heading)
a) No. of Lawyers ^{/1}	29	65	330	67	1	-	18	-	-	-	-
b) No. of Patent & Trademark Agents ^{/2}	-	-	4	-	-	-	-	-	-	-	-
c) No. of Non-lawyer Consultants ^{/3}	1	1	3	-	-	-	-	-	-	-	-
d) No. of Paralegals	7	2	37	16	-	-	1	-	-	-	-
e) No. of Other Employees	15	49	428	57	-	-	-	-	-	-	-
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm ^{/4}	-	-	-	-	-	-	-	-	-	-	-

/1 Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

/2 These are not lawyers.

/3 Please complete Appendix C if individuals are reported under this category.

/4 Lawyers reported here should not be included under a).(See note at Question 8.f) of the application.)

/5 Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.

Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.

If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please provide full particulars such as percentage of practice other than Law.

Osler Hoskin Harcourt LLP

List of Lawyers as at March 1, 2021

Last Name	First Name	Office	Year of Call (Actual)
Abbott	Mary	Toronto	ON 1998
Abitan	Sandra	Montréal	QC 1990
Aboud	Malcolm	Toronto	ON 2013
Adams	Haley	Toronto	ON 2016
Adler	Jordan	Toronto	ON 2019
Ahmed	Firoz	Toronto	ON 1986
Alacchi	Alexandra	Toronto	ON 2020, QC 2020
Alfred	Glorie	Toronto	ON 2008
Ali	Kristin	Toronto	ON 2008, Massachusetts 2009
Aman	Devin	Calgary	AB 2018
Amyot	Sophie	Montréal	QC 2013
Anandan	Niveda	Ottawa	ON 2019
Anderson	Brett	Toronto	ON 2012
Anzik	Natasha	Toronto	ON 2020
Arella	Amanda	Toronto	ON 2020, QC 2020
Arendas	Mikulas	Montréal	QC 2013
Armstrong	Kelsey	Calgary	AB 2011
Armstrong	Stephen	Toronto	AB 2019, ON 2020
Asif	Arshia	Toronto	ON 2019
Atwal	Shalu	Toronto	ON 2019
Austin	Mark	Toronto	ON 1999, AB 1997
Authier	Annie-Claude	Montréal	QC 2016
Ayub	Sahar	Ottawa	ON 2018
Aziz	Andrew	Toronto	ON 1988
Aziz	Ramz	Toronto	ON 2020
Baines	Simon	Calgary	AB 2004
Baker	Jesse	Calgary	AB 2019
Bakhtiary	Shiva	Ottawa	ON 2018
Barnett	Chris	Toronto	ON 1996
Barqawi	Abdalla	Toronto	ON 2019
Barz	Evan	Toronto	ON 2017
Basso	Andrew	Toronto	ON 2020
Bayne	Chad	Toronto	ON 2002
Beale	Alexis	Toronto	ON 2014
Beauregard	Nathalie	Montréal	QC 1989, NY 1989
Beejan	Bhavish	Montréal	QC 2020
Begun	Dov	Toronto	ON 1994
Bennett	Chris	Toronto	ON 2001
Benoît	Fabrice	Montréal	QC 1995
Bernasek	Joyce	Toronto	ON 2003, NY 2009
Berthelet	Caroline	Montréal	QC 2020
Bertrand	Jean Philippe	Montréal	QC 2016
Besner	Yan	Montréal	QC 2003
Biringer	Monica	Toronto	ON 1986
Bisetty	Bavia	Vancouver	BC 2008, ON 2011, AB 2014, South Africa 2000
Bisla	Manveer	Vancouver	BC 2012
Bjorkquist	Sonia	Toronto	ON 1997

Osler Hoskin Harcourt LLP

List of Lawyers as at March 1, 2021

Last Name	First Name	Office	Year of Call (Actual)
Black	John	Toronto	ON 1994
Blasutta	Noemi	Toronto	California 2009, ON 2016, DC 2016, NY 2016
Bocking	Cheri	Toronto	BC 2000, ON 2010, MB 2006
Boctor	Andrea	Toronto	ON 2003
Borins	Richard	Toronto	ON 1995
Bouwman	Benjamin	Toronto	ON 2020
Boyd	Andrew	Toronto	ON 2014
Breadon	Carla	Toronto	ON 2018
Brender	Mark	Montréal	QC 1990
Brinker	Coleman	Calgary	AB 2019
Brisset	Jeremy	Montréal	QC 2011
Brown	James	Toronto	ON 2008
Bruschetta	Lia	Toronto	ON 2011
Bryce	Doug	Toronto	ON 1997
Buckingham	Janice	Calgary	AB 1996
Budabin McQuown	Michael	New York	NY 2010
Budziakowski	Tom	Toronto	ON 2016
Buitenhuis	Graham	Toronto	ON 2018
Burdon	Geneviève	Montréal	QC 2012, ON 2012
Burgoyne	Terry	Toronto	ON 1983, England & Wales 1988
Callaghan	Patrick	Toronto	ON 1995
Calvaruso	Martino	Toronto	ON 2009
Cantin	Samuel	Montréal	QC 2020
Cao	Jennifer	Toronto	ON 2018
Cao	Taylor	Toronto	ON 2017
Carrothers	Marc-William	Montréal	QC 2015
Carson	Lorne	Calgary	AB 1981
Carson	Robert A.	Toronto	ON 2009
Casciola	Isabella	Montréal	QC 2020
Cavaliere	Chloe	Montréal	QC 2015
Chai	Jie	New York	NY 2014
Chan	Gawain	New York	NY 2004, ON 2004, BC 2003
Chang	James	Toronto	ON 2019
Chaster	Sarah	Vancouver	BC 2018 ON 2018
Cheng	May	Toronto	ON 1993
Chin	Natasha	Toronto	ON 2017
Choi	Eric	Toronto	ON 2015
Chopra	Sahil	Toronto	ON 2018
Chu	Danielle	Toronto	AB 2018, NY 2019, ON 2019
Clarke Brice	Kellesha	Toronto	ON 2018, Barbados 2008
Cloutier	Nicole	Montréal	QC 1985
Clutterbuck	Alyssa	Toronto	ON 2017
Cobb	Alexander	Toronto	ON 2002
Code	Jacqueline	Toronto	ON 1998
Cohen	Samuel	Montréal	QC 1996
Cohen	Shari	Toronto	ON 2016
Colan	Kevin	Toronto	NY 2002

Osler Hoskin Harcourt LLP

List of Lawyers as at March 1, 2021

Last Name	First Name	Office	Year of Call (Actual)
Coleman	Allan	Toronto	ON 1999
Comerford	Jason	New York	NY 2001, ON 1999
Corber-Tovel	Jordana	Montréal	QC 2019
Corona	Marisa	Montréal	QC 2017, ON 2017
Cosneanu	Cristina	Montréal	QC 2019
Cotter	John	Toronto	ON 1988
Coulibaly	Sarrah	Ottawa	ON 2018
Cremers	Michael	Vancouver	ON 2018
Crew	Isabelle	Toronto	ON 2019
Cui	Hanrui	Ottawa	ON 2017
Cymbalisty	Shawn	Toronto	ON 2014
da Silva Aboo	Isaac	Toronto	ON 2018
Dacks	Jeremy	Toronto	ON 1999
Damodar	Miju	New York	AB 2016, ON 2018, NY 2018
Danakas	Summer	Toronto	ON 2018
Davachi	David	Toronto	ON 2010
Davidge	Rod	Toronto	ON 2000
Davidson	Shannon	Vancouver	AB 2016, BC 2017
de Grandpré	Vincent	Toronto	ON 2003, NY 2001, QC 1997
De Lellis	Michael	Toronto	ON 2003
D'Elia	Carrie	Toronto	ON 2002
Denstedt	Shawn	Calgary	AB 1989, ON 1993
Der	Colena	Calgary	AB 2009
DesLauriers	Mark	Toronto	ON 1983
Dharamdial	Justin	Toronto	ON 2013
Di Cresce	Stella	Toronto	ON 2005
Di Prata	Matthew	Vancouver	BC 2020
Dick	Marleigh	Toronto	ON 2020
Dickie	Steven	Toronto	ON 2011
Dionne	Jean-Philippe	Montréal	QC 2017
Disenhouse	Joshua	Toronto	ON 2014
Dixon	Olivia	Calgary	AB 2008
Doherty	Terence	New York	NY 2002, ON 2005
Dolman	Jennifer	Toronto	ON 1991
Donald	Danna	Toronto	ON 2004
Donkor	Theresa	Toronto	ON 2019
Downie	Daniel	Calgary	AB 2020
Du Plessis	Jacques	Vancouver	BC 2013
Dubois	Samantha	Montréal	QC 2020
Duncanson	Sander	Calgary	AB 2011
Dunn	Claire	Toronto	ON 2018
Eftekharpour	Amir	Toronto	ON 2018
Eljarrat	Stéphane	Montréal	QC 1994, ON 2011, Paris 2012
Elliot	Parker	Toronto	ON 2019
Emakpor	Tobor	Toronto	ON 2008, England & Wales 1994
Erickson	Justine	Toronto	ON 2016
Esaw	Kathryn	Toronto	ON 2010

Osler Hoskin Harcourt LLP

List of Lawyers as at March 1, 2021

Last Name	First Name	Office	Year of Call (Actual)
Fairfax	Jennifer	Toronto	ON 2003
Fallon	Alex	Montréal	QC 2010, ON 2009
Farkas	Elie	Toronto	ON 2018
Feasby	Colin	Calgary	AB 1999
Fekete	Michael	Toronto	ON 1993
Feng	Kevin	Toronto	ON 2017
Fidler	Carly	Toronto	ON 2011, NY 2012
Fine	Joanna	Toronto	ON 2006
Fineblit	Josh	Toronto	ON 2017
Firestone	Sarah	Toronto	ON 2020
Folk	Tara	Vancouver	BC 2019
Fong	Barry	Ottawa	ON 2010
Fortin-Latreille	Véronique	Montréal	QC 2019
Fournier	Alain	Montréal	QC 2003
Fraiberg	Jeremy	Toronto	ON 2000
Fric	Laura	Toronto	ON 1995
Frith	Andraya	Toronto	ON 1998
Fullerton	Rick	Toronto	ON 1998
Fung	Deron	Toronto	ON 2019
Gagnon	Hugo-Pierre	Montréal	QC 2006
Gaston	Melanie	Calgary	AB 2002
Gauthier	Bastien	Montréal	QC 2006
Gelbman	Tommy	Calgary	AB 2007
Gelowitz	Mark	Toronto	ON 1991, SK 1987
Gibson	Krista	Calgary	AB 2018
Gilchrist	Donald	Toronto	ON 1985
Gillott	Roger	Toronto	ON 1996
Gilmour	Emily	Toronto	ON 2016
Gleason-Mercier	Catherine	Toronto	ON 2013
Glendinning	Deborah	Toronto	ON 1990
Gore	Alana	Toronto	ON 2014, Barbados 2007, Trinidad & Tobago 2007
Gorka	Alex	Toronto	ON 2010
Gormley	John	Calgary	AB 2017
Gould	Natasha	Montréal	QC 2014
Graham	Victoria	Toronto	ON 2000
Granek	Andrew	New York	NY 2015
Grantmyre	Michael	Toronto	ON 2012
Gray	Brian	Toronto	ON 2010
Gray	Kaitlin	Calgary	AB 2016
Greenspoon	Mandy	Toronto	ON 2012
Grenon	Gerald	Calgary	AB 1995
Groenewegen	John	Toronto	ON 1997
Gross	Wendy	Toronto	ON 1993
Guccione	Daniel	Ottawa	ON 2019
Guindi	Shahir	Montréal	QC 1990, NY 1990
Habib	Jessica	Toronto	ON 2019
Hamill	Catherine	Calgary	AB 2016

Osler Hoskin Harcourt LLP

List of Lawyers as at March 1, 2021

Last Name	First Name	Office	Year of Call (Actual)
Han	Jennie	Calgary	AB 2019, NY 2014
Hansford	Vanessa	Toronto	ON 2011
Harding	Jessica	Montréal	QC 2013
Harper	Lauren	Toronto	ON 2016
Hart	Michael	Toronto	ON 1995
Hartog	Adrian	Toronto	ON 1984
Hawkins-Schulz	Kaleigh	New York	ON 2019
Hay	Sandy	Toronto	ON 2017
Hayes	Ryan	Toronto	ON 2014
Hayward	Kojo	Toronto	ON 2019
Heard	Joel	Toronto	ON 1994
Heisey	William	Toronto	NY 2019,
Hemani	Zain	Toronto	ON 2020
Hendry	Eric	Toronto	ON 2018
Herr	Andrew	New York	NY 2000, ON 1997, England & Wales 2001
Hersh	Joshua	Ottawa	ON 2020
Hiles	Meg	Calgary	AB 2019
Hirsh	Adam H.	Toronto	ON 2008
Hnatchuk	Daniel	Ottawa	ON 2016
Hodgett	Simon	Toronto	ON 1992, NF & LABRADOR 1996
Hodgson	Alexandra	Toronto	ON 2020
Hofer	Lindsay	Calgary	AB 2020
Holloway	Diana	Toronto	ON 2018
Horton	Jennifer	Toronto	ON 2018
Housman	Rob	Calgary	AB 1997
Hughes	Timothy	Toronto	ON 2000
Hunnisett	Geoff	Toronto	ON 2009
Hunter	Rosalind	Toronto	ON 2006
Hutchison	Alan	Vancouver	BC 2001
Huys	Matthew	Calgary	AB 2012
Hynes-Gagné	Julien	Montréal	QC 2015
Ignasiak	Martin	Calgary	AB 1999, NWT 2012
Innes	Michael	Toronto	ON 2001, MASSACHUSETTS 1998
Ip	Sam	Toronto	ON 2014
Irving	Shawn	Toronto	ON 2004
Irwin	Andrew	Toronto	ON 2016
Ivanoff	Paul	Toronto	ON 1993, NB 1991
Jackson	Christine	Toronto	ON 2011
Jacyk	David	Vancouver	BC 2002, MB 1994 and New Zealand 2005
Jamieson	David	Toronto	ON 2011
Jeffrey	Jennifer	Toronto	ON 2015
Joshi	Komil	Toronto	ON 2017
Kakkar	Mani	Toronto	ON 2014, NY 2017
Kanji	Justin	Toronto	England & Wales 2019
Kaplan	Arielle	Toronto	ON 2016
Kardash	Adam	Toronto	ON 1994
Kenigsberg	Alan	Toronto	ON 2001

Osler Hoskin Harcourt LLP

List of Lawyers as at March 1, 2021

Last Name	First Name	Office	Year of Call (Actual)
Kennedy	Jessica	Calgary	AB 2013
Khakhuda	Alina	Vancouver	BC 2017
Killam-Michel	Jesany	Toronto	NY 2016
Killoran	Maureen	Calgary	AB 1995, ON 2001, BC 2015
Kim	Alyssa	Toronto	ON 2020
King	Richard	Toronto	ON 1996
Kingdom	Hannah	Toronto	ON 2015
Kingissepp	Andrew	Toronto	ON 1984
Kirsh	Marissa	Toronto	ON 2014
Klyguine	Alex	Ottawa	ON 2014
Kolibar	Daniel	Calgary	AB 1989, ON 1993
Korosi	Liliana	Montréal	QC 2012
Kotsopoulos	Savvas	Toronto	ON 2003
Kovalev	Alexei	Toronto	ON 2017
Kravtsov	Ilia	Montréal	QC 2016
Kushner	Marc	New York	NY 1989, NJ 1989 , DC 1990, MAINE 1990
Kustra	Bryce	Calgary	AB 2012
Kuzma	Kaeleigh	Toronto	ON 2008
Lacoursiere	Lynne	Toronto	ON 2013, NY 2003
Lafferty	Justin	Calgary	AB 2018
Lally	Michelle	Toronto	ON 1992
Lam	Mathieu N	Ottawa	ON 2019
Lando	Rob	New York	NY 1991, ON 1991
Langen	Geoffrey	Ottawa	ON 2006
Larkin	Hayley Jean	Toronto	ON 2018
LaRoche	Adam	Calgary	AB 2016
Lastman	Bradley	Toronto	ON 2020
Laurin-Pratte	François	Montréal	ON 2015, QC 2015
Lauzière	Erick	Montréal	QC 2018
Law	Tara	Toronto	ON 2008
Lazure	Sarah	Montréal	QC 2017
Lechner-Sung	Tori	New York	
Lee	Chres	Toronto	ON 2016
Lee	Desmond	Toronto	ON 1998
Lee	Jasmyn	Toronto	ON 2018
Lee	Jennifer	New York	NY 2010
Lee	Jonathan	Toronto	ON 2014
Legendre	Céline	Montréal	QC 2001
Lehodey	Robert	Calgary	AB 1983
Lepore	Adriano	Toronto	ON 2018
Leung	Calvin	Montréal	QC 2015
Levy	Eric	Montréal	QC 1996
Lin	Jeremy	Toronto	ON 2017
Lin	Scott	Toronto	ON 2020
Lipkus	Nathaniel	Toronto	ON 2007, NY 2008
Litner	Paul	Toronto	ON 1990
Liu	Corinna	Calgary	Nunavut 2020

Osler Hoskin Harcourt LLP

List of Lawyers as at March 1, 2021

Last Name	First Name	Office	Year of Call (Actual)
Liu	Ted	Toronto	ON 2008
Lockwood	Craig	Toronto	ON 2002
Longo	Mark	Vancouver	ON 1994, BC 2009
Lowenstein	Larry	Toronto	ON 1983
Luca	Devon	Vancouver	BC 2019
Ludwin	Ilana	Toronto	ON 2014
Lunn	Faylene	Ottawa	ON 2012
Lynch	Emily	Montréal	QC 2020
MacDonald	John	Toronto	ON 1986, NB 1988
MacDonald	Peter	Ottawa	ON 2003
MacDougall	Andrew	Toronto	ON 1993
MacEachern	Briana	Calgary	AB 2019
MacFarlane	John	Toronto	ON 1991, AB 1998
Mack	Arlene	Toronto	ON 2012
MacKinnon	Emily	Vancouver	BC 2013
Maki	Lynnea	Ottawa	ON 2019
Malcolm	Sandra	Toronto	AB 2008
Malik	Waleed	Toronto	ON 2015
Mallett	Tristram	Calgary	AB 1997, ON 1989
Mansour	Yael	Ottawa	ON 2020
Mantello	Lisa	Toronto	ON 2003
Margeson	Adam	Toronto	ON 2020
Marin	Jonathan	Toronto	ON 2011
Marley	Patrick	Toronto	ON 1997, NY 2004
Marshall	Doug	Toronto	ON 1989
Marshall	Gary	Toronto	California 2011, ON 2019
Martindale	Martha	Vancouver	BC 2005, AB 2018
Massicotte	Etienne	Montréal	QC 1988
McCallion	Alanna	Toronto	ON 2018
McCammon	John	Calgary	AB 2020
McCarthy	Ethan	Toronto	ON 2015
McKean	Heather	Toronto	ON 1982
Mckop	Storme	Calgary	AB 2020
McLennan Brown	Alexandra	Toronto	ON 2020
McLeod	Sarah	Toronto	ON 2016
McNeil	Aidan	Montréal	QC 2006
Medeiros	Nelson	Calgary	AB 2015
Meghji	Al	Toronto	ON 1990, AB 1995
Memon	Sagar	Vancouver	BC 2020
Miao	Amelia	Toronto	ON 2012
Mihailovich	Pooja	Toronto	ON 2006
Mila	Laura	Toronto	ON 2020
Milet	Matias	Toronto	ON 2005, NY 2000
Milligan	Peter	Toronto	ON 1983
Mishra	Lipi	Toronto	ON 2017
Mitchell	Tim	Ottawa	ON 2011
Mochrie	Dominic	Toronto	ON 2002

Osler Hoskin Harcourt LLP

List of Lawyers as at March 1, 2021

Last Name	First Name	Office	Year of Call (Actual)
Moffatt	Kelly	Toronto	ON 1992
Morassutti	Paul	Toronto	ON 1988
Morawetz	Colleen	Toronto	ON 2019
Morgan	Allan	Calgary	NB 2016
Morier	Drew	Toronto	ON 2002, QC 2003, AB 2010
Morissette	Julien	Montréal	QC 2009, ON 2009
Morrison	Ian	Vancouver	BC 2020
Morritt	David	Toronto	ON 1984
Morse	Alix	New York	ON 2013, NY 2017
Mpermeracis	Elizabeth	Toronto	ON 2014
Mulroy	Jillian	New York	ON 2016, NY 2018
Munroe	Natalie	Ottawa	ON 2009, NY 2004
Murray	Blake	Toronto	ON 1975
Murray	Chris	Toronto	ON 1985
Nanfara	Chloe	Toronto	ON 2020
Nanji	Al-Nawaz	Toronto	ON 2003
Naudie	Chris	Toronto	ON 1997
Nayar	Amit	Toronto	ON 2013
Newell	Susan	Toronto	ON 2010
Nielsen	Ryan	Toronto	ON 2009
Nitoslawski	Jan	Calgary	AB 2020
Nurmohamed	Sameer	Toronto	ON 2014
Obal	Shelley	Toronto	ON 1985
O'Brien	Kevin	Toronto	ON 2005
O'Ferrall	Kelly	Toronto	ON 2009
Olexiuk	Paula	Calgary	AB 2000
Oliver	Matthew	Montréal	QC 2012
Opolko	Mary	Montréal	QC 2014, ON 2017
Ortega Huerta	Sergio	Vancouver	BC 2019
Osmond	Peter	Calgary	AB 2019, NS 2017
Panford Walsh	Rama	Ottawa	ON 2020
Paplawski	Emily	Calgary	AB 2013
Paradis	François	Montréal	QC 2003
Pare	Veronique	Montréal	QC 2019
Park	Minji	Vancouver	BC 2016
Parker	Shaun	Calgary	AB 2012
Paterson	Mary	Toronto	ON 2005
Pavic	Sonja	Toronto	ON 2013
Perey	André	Toronto	ON 1996
Peters	Tom	Toronto	ON 2016
Plamondon	Frédéric	Montréal	QC 2013
Ponder	Dale	Toronto	ON 1982
Popuri	Swetha	Toronto	ON 2016
Porime	M. Horatiu	Toronto	ON 2017
Poysa	Sven	Toronto	ON 2006
Préfontaine	Éric	Montréal	QC 2001
Pressman	Manny	Toronto	ON 1998

Osler Hoskin Harcourt LLP

List of Lawyers as at March 1, 2021

Last Name	First Name	Office	Year of Call (Actual)
Putyra	Marty	Toronto	ON 2018
Rai	Manvir	Ottawa	ON 2016
Raizenne	Robert	Montréal	QC 1981, ON 1997
Ranger-Musiol	Julien	Montréal	QC 2006, ON 2007
Rankin	David	Toronto	ON 2013, AB 2011
Rauccio	Lindsay	Toronto	ON 2010
Reid	Aislinn	Toronto	ON 2009
Rhein	Jonathan	New York	NY 2014
Rigolo	Damian	Toronto	AB 2007, ON 1997
Ritchie	Lawrence	Toronto	ON 1996
Ritchie	Matthew	Toronto	ON 2014
Roberts	Nancy	Toronto	ON 1996
Rochkin	Marta	Toronto	ON 2014
Rodal	Shuli	Toronto	ON 2000
Rosenblat	David	Toronto	ON 2013
Ross	Neal	Calgary	AB 2002, SK 2001
Rothstein	Marshall	Vancouver	BC 1966, BC 2015
Rotrand	Graeme	Toronto	ON 2017
Rowe	Edward	Calgary	AB 1996, ON 2001
Rowe	Mary Angela	Toronto	ON 2015
Roy	Marc	Montréal	QC 2017
Rubin	Cayda I	Ottawa	ON 2019
Rubin	Chelsea	Toronto	ON 2020
Rubin	Erin	Toronto	ON 2016
Rusk	Katherine	Vancouver	BC 2017
Saban	Tina	Toronto	ON 2019
Sachar	Karin	Toronto	ON 2011
Sadikman	Jake	Toronto	ON 2005
Salah	Kenza	Ottawa	ON 2018, AB 2017
Sale	Elizabeth	Toronto	ON 2004
Salloum	John	Toronto	ON 2006
Samad	Yasir	Toronto	ON 2018
Sandler	Tracy	Toronto	ON 1991
Sargent	Brittany	Toronto	AB 2013, ON 2015
Saric	Dana	Calgary	AB 2011
Sathananthan	Gajan	Toronto	ON 2017
Sbrocchi	Sandra	Ottawa	ON 2005
Scheim	Laura	Montréal	QC 2014
Schieman	D'Arcy	Toronto	ON 2002
Schoenborn	Adam	Toronto	ON 2018
Scott	Gillian	Toronto	ON 2003
Scott	Trevor	Vancouver	BC 1998
Sebastiano	Rocco	Toronto	ON 1994
Seraganian	Paul	New York	NY 2001
Sharlow	Karen	Vancouver	BC 1982
Sharp	Sarah	Toronto	ON 2018
Sheeley	Mark	Toronto	ON 2014

Osler Hoskin Harcourt LLP

List of Lawyers as at March 1, 2021

Last Name	First Name	Office	Year of Call (Actual)
Sheffield	Kai	Toronto	NY 2013, ON 2016
Sheridan	Christopher	Toronto	ON 2012
Sherman	Justin	Calgary	AB 2011
Shin	Timothy	Toronto	ON 2020
Sigurdson	Amy	Toronto	ON 2019
Silverson	Jack	Toronto	ON 1988, AB 1997
Simon	Melanie	Toronto	ON 2017
Singh	Jagriti	Toronto	Council of Delhi 2012, Bar Council of Nepal 2015
Smith	Elliot	Toronto	ON 2008
Smith	James	Toronto	ON 2019
Smith	Marah	Toronto	ON 2009
Smith	Martin	Vancouver	BC 2018
Smith	Roger	Toronto	ON 2018
Snyder	Matthew	Toronto	ON 2014, NY 2014
Spurn	Craig	Calgary	AB 1990
St. John	Rachel	Calgary	NY 2008, ON 2010, AB 2010
Stamp	David	Toronto	ON 1992
Starostin	Konstantin	Toronto	ON 2016
Stathakos	Theo	Vancouver	AB 2012
Stébenne	Antoine	Montréal	QC 1998
Stefanishin	Sergey	Toronto	Russia 1997, ON 2019
Stein	Lori	Toronto	ON 2002
Stephens	Caroline	Montréal	QC 2015
Stidwill	Sean	Ottawa	ON 2016
Strachan	Thomas	Toronto	ON 2019
Stretch	Luke	Calgary	ON 2020
Stysis	Daniel	Montréal	QC 2018
Sullivan	Patrick	Vancouver	BC 2016, ON 2016
Sunderji	Omar	Toronto	ON 2017
Suppa	Olivia	Toronto	ON 2019
Sutherland	Sean	Calgary	AB 2014
Tam	Iris	Toronto	ON 1999
Tasharofi	Masoud	Toronto	ON 2015
Tassé	Louis	Montréal	QC 1990
Therrien	Josy-Ann	Montréal	QC 2020
Thiessen	Brian	Calgary	AB 1998
Thivierge	Manon	Montréal	QC 1986
Thomas	Evan	Toronto	ON 2007
Thompson	Jennifer	Toronto	ON 2009
Thomson	Jessica	Toronto	ON 2018
Tilak	Hemant	Toronto	ON 1987
Tomasich	Lauren	Toronto	ON 2008
Torabi	Anousheh	Vancouver	AB 2015, BC 2017
Traore	Tiye	Toronto	ON 2020
Trattner	Paula	Toronto	ON 1999
Troulis	Constantine	Montréal	QC 1987
Turner	Frank	Calgary	AB 2002, ON 1993

Osler Hoskin Harcourt LLP

List of Lawyers as at March 1, 2021

Last Name	First Name	Office	Year of Call (Actual)
Twa	Kelly	Calgary	ON 2020
Ubani	Chima	Montréal	QC 2008
Valley	John	Toronto	ON 2005
Van de Mosselaer	Randal	Calgary	AB 1995, SK 1993
Vandale	Joanne	Calgary	AB 2005
Vandenberg	Edward	Ottawa	ON 1998, BC 1996
Varlokostas	Sotiri	Toronto	ON 2020
Veilleux	Niko	Montréal	QC 2016, NY 2001
Versteegh	Lucas	Toronto	ON 2017
Vincelli	Natasha	Montréal	QC 2020
Virk	Aqeel	Calgary	AB 2018
Wallace	Lillian	Ottawa	ON 2015
Wanford	Matthew	Ottawa	ON 2011, AB 2008, England & Wales 2000
Wasserman	Marc	Toronto	ON 2001
Watts	Michael	Toronto	ON 1991
Webb	Laura	Vancouver	ON 2012
Webster	Lee	Toronto	ON 1981
Weiner	Kelsey	New York	NY 2017
Wells	Allan	Toronto	ON 1996
Welsh	Patrick	Toronto	ON 2011
Wetter	Carson	Calgary	AB 2019
White	Brad	Ottawa	ON 1998
White	Donna	Ottawa	ON 1984
White	Emery	Toronto	ON 2020
White	Nathan	Calgary	BC 2017
Whitlock	Riley	Calgary	AB 2014
Whitmore	Seth	Toronto	ON 2016
Whyte	Andrea	Calgary	AB 2000
Wilson	David	Montréal	QC 2014
Wong	Andrew	Toronto	ON 1995
Wong	Heidi	Calgary	AB 2006
Wong	Richard	Toronto	ON 1997, NY 2000
Wu	Jeremy	Toronto	ON 2017
Wylie	Gregory	Toronto	ON 1992
Yeung	Diana	Toronto	ON 2009, NY 2010
Yeung	Sandy	Toronto	ON 2003
Young	Jacob	Calgary	AB 2016, BC 2016
Young	Justin	Vancouver	BC 2010, ON 2003
Young	Sydney	Toronto	ON 2014
Zilvytis	Charlie	Toronto	ON 2017

APPENDIX C

**Active Non-Lawyer Consultants Of The Firm As Of March 01, 2021
(Excluding Patent & Trademark Agents)**

Name of Firm

Osler, Hoskin & Harcourt LLP

Updated as of (Enter Date):

March 1, 2021

SECTION A

[illegible]

/1 If underlying insurance is purchased, please complete Section B.

/2 Please complete this column ONLY for individuals who are not acting under the supervision of a lawyer AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX C

Active Non-Lawyer Consultants Of The Firm As Of March 01, 2021
(Excluding Patent & Trademark Agents)

Name of Firm

Osler, Hoskin & Harcourt LLP

Updated as of (Enter Date):

March 1, 2021

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

APPENDIX D

"Associated Firms" and "Umbrella Firms"

Name of Firm

Osler, Hoskin & Harcourt LLP

1	The Named Insured under the CLLAS policies is Osler, Hoskin & Harcourt LLP with Osler, Hoskin & Harcourt, a U.K. organized partnership with former offices in London (UK), Hong Kong and Singapore being added as an additional Named Insured
2	Osler, Hoskin & Harcourt merged with Herridge, Tolmie on February 1, 1986, MacDonald & Ferrier on July 1, 1986 and Rogers, Rogers, Moore on March 1, 1988.
3	The Firm has Canadian offices in Toronto, Ottawa, Montreal, Calgary, Vancouver and in New York City (through a separate State of New York organized partnership of the same name)
4	The Firm formed an international partnership on January 1, 1991, known as Osler Renault Ladner, with Ogilvy Renault of Montreal and Ladner Downs of Vancouver which provided legal services to both Canadian clients with interests in the United States, Europe and the Far East and clients based in such areas doing business in Canada.
5	Effective December 31, 1993, Ladner Downs withdrew and the partnership continued under the name of Osler Renault
6	Effective March 31, 1996, Ogilvy Renault ceased to be a partner of Osler Renault
7	Effective April 1, 1996, Osler Renault changed its name to Osler, Hoskin & Harcourt and the former offices of Osler Renault in London, Hong Kong and Singapore became offices of Osler, Hoskin & Harcourt, the U.K. organized partnership. The Singapore, Hong Kong and London offices were closed on December 31, 1996, June 30, 1998 and July 31, 1999 respectively.
8	There is an endorsement on the policy with respect to Osler Renault Ladner and Osler Renault to cover possible claims relating to the period during which the partnerships were in operation.
9	Coverage is also provided to the predecessor firms to Osler, Hoskin & Harcourt, namely, Herridge, Tolmie, MacDonald & Ferrier and Rogers, Rogers, Moore.

APPENDIX E

Professional Services Provided Relating to Non-Canadian Law & Professional Services Provided In the U.S. & Outside of Canada

Name of Firm

Osler, Hoskin & Harcourt LLP

Updated as of (Enter Date):

March 1, 2021

1 Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Province the Canadian Lawyer is based out of	Location of Office (Non-Canadian)	% of Docketed Time Relating to Non-Canadian Law
Colan, Kevin	NS	working from home in Nova Scotia	100%
de Grandpre, Vincent	ON	Toronto	5%
Heisey, Will	ON	Toronto	5%
Innes, Michael	ON	Toronto	5%
Veilleux, Niko	QC	Montreal	10%

2 Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Province the Canadian Lawyer is based out of	Location of Office (Non-Canadian)	% of Docketed Time in the U.S. Office
		U.S.	
		U.S.	
		U.S.	
		U.S.	
		U.S.	
		U.S.	
		U.S.	

For lawyers practicing both Canadian and Non-Canadian Law, please provide a split between Canadian and Non-Canadian. Note that in cases where a split is not available, a 50% 50% split will be assumed.

3 Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Name of Lawyer	Location of Office (Country Only)	# of Lawyers Practice 100% Canadian Law	# of Lawyers Practice 100% Non-Canadian Law	# of Lawyers Practice both Canadian & Non-Canadian Law	Canadian (%)	Non- Canadian (%)	Total (100%)
Budabin McQuown, Michael	USA		1				0%
Chai, Jie	USA		1				0%
Chan, Gawain	USA	1					0%
Comerford, Jason	USA						0%
Damodar, Miju	USA			1	25%	75%	100%
Doherty, Terence	USA	1					0%
Granek, Andrew	USA		1				0%
Hawkins-Schulz, Kaleigh	USA	1					0%
Herr, Andrew	USA			1	15%	85%	100%
Kushner, Marc	USA		1				0%

APPENDIX E

Professional Services Provided Relating to Non-Canadian Law & Professional Services Provided In the U.S. & Outside of Canada

Name of Firm

Osler, Hoskin & Harcourt LLP

Updated as of (Enter Date):

March 1, 2021

Lando, Rob	USA			1	50%	50%	100%
Lechner-Sung, Tori	USA						0%
Lee, Jennifer	USA		1				0%
Morse, Alix	USA						0%
Mulroy, Jillian	USA			1	5%	95%	100%
Rhein, Johnathan	USA		1				0%
Seraganian, Paul	USA		1				0%
Weiner, Kelsey	USA		1				0%

4 Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure:	Lawyers Professional Liability Coverage
Insurance Carrier:	Columbial Casualty Company (25%) - LEAD, Berkshire Hathaway International Insurance Limited (25%), Indian Harbor Insurance Company (16.5%), Aspen Specialty Insurance Company (16.5%), Underwriters at Lloyds, London (17%)
Policy Number:	592420510 - LEAD
Period of Insurance:	July 1, 2020 to July 1, 2021
Retroactive Date:	
Limit (Per Claim):	\$30,000,000
Limit (Aggregate):	\$30,000,000

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

APPENDIX F

Schedule of Claims and Notices As of December 31, 2020



reviewed

Name of Firm

Osler, Hoskin & Harcourt LLP

Updated as of (Enter Date):

December 31, 2020

Please attach separately with the email

As at: December 31, 2020

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS										
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred		
CLLAS1988-035	LNR407	31/Mar/88	1/Feb/88	PHYLLIS D. McDOWELL	TRAVELERS CANADA	30/Apr/90	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-036	L0647	1/Dec/87	1/Oct/87	JUERGEN F. SAGEL	MS. NOVAK	1/Feb/89	\$ 18,165.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 18,165.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-037	LNR452	6/May/88	1/Feb/88	KAREN G. WRISTEN	MITCHELL VS. PETRO CANADA	1/Jun/89	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-053	L1658	1/Apr/88	1/Jan/87	JULY LEE	AMAX INC.	15/Jan/90	\$ -	\$ -	\$ 10,820.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10,820.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-006	M0624	1/Nov/88	1/Jul/88	JAMES G. FOGO	THE DISTON CO.	1/Feb/91	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-032	M2610	30/Jun/89	31/Dec/88	JOHN D. COYNE	NUNSA 23 LIMITED	31/Dec/95	\$ -	\$ -	\$ 5,506.49	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,506.49	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-033	M26075	18/Jun/89	15/Jun/88	DAVID T. TETREAULT	TRIDEL CORP.	1/Mar/92	\$ -	\$ -	\$ 1,828.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,828.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-040	M0635	1/May/89	1/Feb/85	MARA NICKERSON	ALLAN SUSSMAN	1/Jul/89	\$ -	\$ -	\$ 867.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 867.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-043	M1637	1/May/89	1/Nov/88	Frederick L. Myers	DIXIE LEE FOOD SYSTEM	1/Jun/89	\$ 4,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-073	M2612	1/Jun/89	1/Jun/86	ANTHONY O. HENDRIE	RT PENSION PROPERTIES	1/Nov/91	\$ -	\$ -	\$ 2,049.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,049.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-074	M2609	1/Jun/89	1/Jun/88	JENNIFER PARKIN	COMMERCIAL CAPITAL	1/Sep/89	\$ -	\$ -	\$ 706.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 706.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-076	M2739	30/Jun/89	31/Jan/88	WILLIAM P. ROGERS	ROSE MARIE LAMONT	31/Aug/94	\$ 26,000.00	\$ -	\$ 13,643.44	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 39,643.44	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-077	M2611	1/Jun/89	1/May/89	JOHN F. PETCH	INTERACTIVIE ENTERTAIN	15/Jan/90	\$ -	\$ -	\$ 1,091.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,091.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-014	N0682	1/Nov/89	1/Feb/87	PETER DEY	CANADIAN HELICOPTER CO.	1/Apr/91	\$ 305,000.00	\$ -	\$ 32,134.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 337,134.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-016	NO720K	1/Nov/89	1/Sep/89	DAVID AITKEN	IRWIN TOY LIMITED	1/May/91	\$ -	\$ -	\$ 3,551.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,551.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-029	N1086-E	12/Jan/90	29/Mar/89	Christopher Portner	MULTIMET CANADA INC.	1/Sep/90	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-044	N1694K	20/Mar/90	26/Sep/89	JAMES G. FOGO	C.D. SEARLE & CO.	1/Sep/90	\$ -	\$ -	\$ 591.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 591.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-048	N1675	30/Apr/90	31/Dec/89	CAROLINE M.L THOMAS	CARGILL GRAIN	10/Jan/96	\$ -	\$ -	\$ 10,084.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10,084.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-051	N1785	6/Apr/90	1/Jun/88	STUART SHAMIS	MILLION FRONT CANADA LIMITED	1/Oct/90	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-053	N1684G	29/Mar/90	10/Jan/90	ROBERT CLAYTON	GARY BURKE	1/Jun/9																	

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred	
CLLAS2012-023	11-0463	7/Sep/11		George R. Hendy	Homburg Invest Inc.	2/Sep/14	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-045	11E1728	9/Nov/11	30/Mar/07	David Tetreault	Maple Financial Group Inc.	27/Aug/12	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-050	12-0305	23/Nov/11		Gerald Grenon	Fording Coal Limited (Teck Resources)	9/Nov/12	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-057	No CST	8/Dec/11	24/Jun/11	Jean Fraser	Chemtrade Logistics Income Fund	31/Mar/16	\$ 890,045.00	\$ -	\$ -	\$ 109,955.00	\$ -	\$ -	\$ 1,000,000.00	\$ 2,843,375.00	\$ 271,749.72	\$ -	#####	\$ -	\$ -	\$ -	\$ -	\$ 2,356,503.82
CLLAS2012-151	12E0665	10/May/12	2/Jan/06	Lara Friedlander	General Motors Invetment Management Management Corp. - GC	31/Jan/13	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-157	12-0299	29/May/12		Vitale / Étienne Santoro / Mi	T.P. Fonds de Placement Immobilier Canmarc	27/Mar/13	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-177	12E0962	29/Jun/12	30/Dec/94	Frank Zaid	Sadie Moranis Realty Corporation	5/Jul/12	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-043	12-0630	8/Nov/12		Jonathan Cutler	Allan Bazar and Dale Fraser re City of Calgary	9/May/13	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-067	12E2279	15/Jan/13	16/Dec/10	Jeremy Fraiberg	MGI Securities Inc.	31/Dec/13	\$ -	\$ -	\$ -	\$ 4,075.00	\$ -	\$ -	\$ 4,075.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-077	LBQ	18/Feb/13		Martin Desrosiers,Eric Prefor	Georges Marciano	30/Jun/16	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-089	LBQ	8/Feb/13		Kerianne Wilson	Nouredine Philip Fakhri	28/Apr/14	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-090	LBQ	14/Feb/13		George R. Hendy	Group SM International Inc	22/Sep/14	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-093	No CST	5/Mar/13		Marc Kushner	Ontario Teachers' Pension Fund / Imperial Parking		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-005	13E1310	9/Aug/13		Terry Burgoyne	CIBC	28/Aug/13	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-031	13E1621	8/Oct/13	8/Jul/13	Sean Christopher (formerly	Michael Davies	21/Aug/14	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-042	Jo CST / 13E1841	13/Nov/13	2/Jan/08	Kimberley Wharram	Cameco Corporation	9/Jun/20	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-144	14-0349	27/Jun/14	18/Dec/13	Christiane Jodoin	Accenture/8425272 Canada Inc.	10/Mar/15	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-145	14E0963	27/Jun/14	2/Jan/14	Shelley Obal	0678786 B.C. Ltd.	5/Feb/15	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-042	No CST	1/Dec/14		John Petch (retired)	Sherry Lang-Laveau	3/Mar/20	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-075	Jo CST / 15E0101	3/Feb/15	25/Jan/13	Kimberley Wharram	Hydro One Inc.	14/Apr/20	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-076	No CST	3/Feb/15	31/Oct/13	Kimberley Wharram	Cara Operations Limited	14/Apr/20	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-091	15E0350	13/Mar/15	14/Jan/15	Victoria Graham	Owai Hashmi / ECC Acquisition Inc.	10/Apr/15	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-124	No CST	11/Jun/15	6/Sep/12	George Valentini	Dream Asset Management	22/Oct/15	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-134	15E1035	29/Jun/15	9/Jan/15	J. Bradley White	Cook Alex Ltd.,Hendrickson International Corporatio	21/Jan/16	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-137	No CST	30/Jun/15	9/Mar/15	Ian MacGregor	Alcatel Lucent	30/Jun/20	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-051	16E2139	8/Nov/16	26/Jun/15	Roger Gillott	Canada Bread Company Limited	9/Sep/19	\$ -	\$ -	\$ -	\$ 11,085.00	\$ -	\$ -	\$ 11,085.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-068	Jo CST / 16E2201	15/Dec/16		John Leddy	Manulife Financial Corporation	10/Oct/18	\$ 900,000.00	\$ -	\$ -	\$ 100,000.00	\$ -	\$ -	\$ 1,000,000.00	\$ 1,308,067.60	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,308,067.60
CLLAS2017-099	17E0120	3/Feb/17	24/Feb/15	Ian MacGregor	Canadian Humanitarian Trust Donation Program	24/Oct/17	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-149	17E0902	31/May/17	8/May/17	Joyce Bernasek	Chemtrade Logistics Inc.	26/Feb/18	\$ -	\$ -	\$ -	\$ 5,852.00	\$ -	\$ -	\$ 5,852.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2019-014	18E1502	23/Aug/18	8/Aug/17	Steven Dickie	Dash Digital Healthcare Inc.	2/Jan/19	\$ -	\$ -	\$ -	\$ 3,662.00	\$ -	\$ -	\$ 3,662.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2019-058	18E2490	21/Dec/18	30/Dec/16	Edward Vandenberg	Canadian Systems International Inc.	4/Jun/19	\$ -	\$ -	\$ -	\$ 1,336.00	\$ -	\$ -	\$ 1,336.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2019-059	18E2485	21/Dec/18	1/Jan/18	Dov Begun	Central Group Alberta Ltd.		\$ -	\$ -	\$ -	\$ 50,000.00	#####	\$ 175,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2019-082	19E0545	7/Mar/19	1/Nov/18	Timothy Hughes	WisdomTree Asset Management Canada, Inc.		\$ -	\$ -	\$ -	\$ -	#####	\$ 100,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2019-098	18E2632	17/Apr/19	27/Jun/18	John Black	I Squared Capital Advisor (US) LLC		\$ 13,154.00	\$ -	\$ -	\$ 13,874.00	\$ 11,846.00	\$ 11,126.00	\$ 50,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2019-125	19E1181	25/Jun/19	4/Jan/19	Nathaniel Lipkus	DreamQii Inc.	11/Feb/20	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2019-126	No CST	27/Jun/19		Thomas Robertson	Constantinos Konstantinopoulos	30/Jun/20	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-021	19E1611	29/Aug/19	19/Jul/06	Janet E. Sim	Estate of Marcelle De Freitas	24/Jan/20	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-052	TBA	15/Nov/19		Robert A. Lehodey	Estate of George Gosbee		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2021-034	20E1740	27/Oct/20		Shawn Irving	Denis Grigoras		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2021-053	20-1024	16/Dec/20		Shannon Davidson	Moore & Gasperecz Global Inc.		\$ -	\$ -	\$ -	\$ -	\$ 50,000.00	\$ 50,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total							#####	#####	#####	#####	#####	#####	#####	#####	\$ -	#####	\$ -	\$ -	\$ -	\$ -	\$ -	#####

APPENDIX G

Risk Management Policies and Procedures

Name of Firm

Osler, Hoskin & Harcourt LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

Please attach separately with the email

Osler, Hoskin & Harcourt LLP

Errors and Omissions – Risk Management

Osler, Hoskin & Harcourt LLP (“**Osler**” or the “**Firm**”) manages the risk of errors and omissions on the part of its legal professionals through a number of different initiatives.

Responsibility for Professional Liability Risk Management

Osler has appointed a senior partner, Terry Burgoyne, to serve as General Counsel for the Firm as well as Chair of an Ethics & Conflicts Committee (the “**Committee**”), consisting of the Chair and two other senior partners. The General Counsel, supported by the Committee, has overall responsibility for risk management and ethical and professional responsibility matters, including general oversight and administration of the Firm’s policies that relate to risk or professional matters (see *Risk Management Policies and Procedures* below).

Osler also has appointed a senior partner, David Morritt, to be responsible for the management of all claims and possible claims against the Firm. He is consulted on all possible claims and advises on all required reports. He also monitors and reviews all filings made in respect of any claims. David also serves on the board of CLLAS. He and our General Counsel also attend various sessions dealing with risk management put on by CLLAS and other external organizations.

Risk Management Policies and Procedures

The Firm has an umbrella Quality Assurance Policy setting out general standards and expectations and a number of other policies and procedures to address specific areas of risk. These other policies and procedures include:

- Policy on Engagement Letter and Client Service Terms
- Policy on Rendering Legal Opinions
- Procedures relating to conflict clearances
- Policy on Acting as Directors, Officers, Trustees or Business Persons
- Policy on Insider trading
- Confidentiality Policy
- Policy for Reporting Violations of the Law and Breaches of Duty (Up the ladder reporting)
- Errors and Omissions Policy
- Policy on Internet and Email Use
- Policy on Ethical Walls
- Social Media Policy
- Password Policy
- Various other information securities policies
- Whistleblowing Policy

The Firm conducts an annual certification process, requiring all legal professionals and staff to confirm that they have complied with the key quality assurance policies during the past year.

Work Intake

The Firm has a Client Screening Policy and has appointed two partners as Gatekeepers to administer that Policy. Gatekeeper approval must be obtained for all new clients and for certain new matters.

Osler has a rigorous conflict clearing system to help identify any potential client conflicts before new clients, new matters or new lawyers are taken on.

The Firm has a set of standard Client Service Terms, required to be provided to all clients. The Terms address common service terms. In addition, an engagement letter is required to be sent out to clients on the opening of all new matters (other than matters that are purely internal to the client, when engagement letters are encouraged but not required).

The Firm's Billing Management Policy identifies the circumstances in which a monetary retainer is required to be obtained from a client.

Communication and Training on Risk Management

Osler periodically holds firm-wide mandatory training sessions for lawyers and staff on various risk management issues. All of Osler's policies are available on the Firm's intranet, fully searchable, and available by topic and by role.

Training sessions are held for in-coming articling students and summer students each year, and a refresher session is held with new first year associates when they return in September of each year.

All new staff are provided with copies of all relevant policies when they arrive and are directed to the supplemental resources available on the intranet.

The Firm has also introduced a training program for new partners on risk management. This training program is held annually.

General Training

The Firm has a full-time lawyer in the role of Chief Knowledge and Innovation Officer (the "CKO"). The CKO is responsible for providing on-going continuing legal education for all legal professionals, in all offices. A broad range of substantive legal programs are provided in-house on a regular basis. The Firm is an Accredited Provider for professional development programs in Ontario, Quebec, B.C. and New York. Lawyers also attend continuing legal education programs provided by external organizations, including the local law societies, the local Canadian Bar Association organization (e.g., the Ontario Bar Association) and others.

Lawyers are required to track their own continuing professional development, but the Firm has put systems in place to assist them to ensure they are meeting their mandatory requirements.

Osler also regularly provides practice-oriented training programs, including programs on:

- researching skills
- the Rules of Professional Conduct

- trust accounting
- time and practice management
- docketing
- negotiations
- presentation and communication skills.

In addition to formal programs, each department and practice group holds regular meetings of all members to discuss developments in the law, practice points and other issues that help ensure legal professionals keep up to date in their areas of practice.

Resources

The Firm has an extensive law library, with “branches” in each office. The library provides access to an extensive collection of legal resources both in hard copy and on-line.

Each department and practice group has identified case reports and digests, journals, newsletters and other periodicals relevant to its practice area, which are circulated regularly to all members of the department to assist them in keeping up to date in developments in their areas.

Each department has developed model precedents and checklist to assist lawyers in preparing up-to-date documents and effectively managing files and transactions. Each department also collects and shares sample documents to provide lawyers with current information on industry practices and trends. The Firm also subscribes to various external precedent resources.

Supervision

A responsible partner is assigned to each client file. The work of all articling students and associates is supervised by a more senior lawyer, to the extent appropriate in each circumstance. All students and associates are encouraged to ask questions whenever they are unsure of their instructions or direction.

Tickler System

The Firm uses a centralized tickler system to keep track of limitation periods and other critical dates. The IP department uses InProtech to track critical dates.

Personal Management

Osler has an employee assistance program available to all legal professionals to help them deal with personal or work-related stress. The Firm subsidizes membership at local fitness organizations for students and associate lawyers to assist them in gaining a balanced lifestyle and deal with stress.

Administrative Support

Osler has well organized administrative departments under the direction of subject area professionals, to provide support and procedures in their areas of service, including Records Management, Information Services, Finance & Accounting, Human Resources, and Marketing and Client Development.

March, 2021

APPENDIX H

Cyber Liability

Name of Firm

Osler, Hoskin & Harcourt LLP

1	Personnel	Enter Yes or No
a)	Do you have a Chief Security Officer or Chief Information Security Officer or equivalent?	Yes
	If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?	
b)	Do you have a Chief Privacy Officer or equivalent?	Yes
	If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?	
2	Protection	Enter Yes or No
a)	Do you use encryption tools to enhance the integrity and confidentiality of confidential information?	Yes
	If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)	
	- Data at rest	Yes
	- Data in transit	Yes
	- Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)	Yes
	- None of the above	
b)	Do you use and regularly update industry-standard antivirus software?	Yes
c)	Do you install the latest software updates to reduce security vulnerabilities?	Yes
d)	Do you require that passwords be a minimum length and contain alpha and numeric characters?	Yes
e)	Do you require that passwords be regularly updated?	Yes
f)	Do you check to make sure that no spyware or adware resides on your computers?	Yes
g)	Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems?	Yes
h)	Is the data on your servers encrypted?	Yes
i)	Is the data on your desktop and laptop computers encrypted?	Yes
j)	Is the data on your mobile devices encrypted?	Yes
k)	Have predesignated computer system/application access rights and privileges been set for all authorized users?	Yes
l)	Is there hourly or daily automatic backup of documents and emails?	Yes
m)	Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems?	Yes
n)	Are backups stored off-site at a secure location?	Yes
o)	Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen?	Yes
p)	Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files?	Yes
q)	Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel?	Yes

APPENDIX H

Cyber Liability

Name of Firm

Osler, Hoskin & Harcourt LLP

3	Incident Report	Enter Yes or No
	Do you have a written network security incident response plan?	Yes
	If "yes":	
a)	Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated?	Yes
b)	Does it include procedures to alert your clients that their data may have been compromised?	Yes
4	Policies	Enter Yes or No
a)	Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis?	Yes
b)	Do you advise your lawyers of the risks of using unencrypted email?	Yes
c)	Does your firm advise your lawyers of the dangers of metadata?	Yes
d)	Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches?	Yes
e)	Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks?	Yes

APPENDIX I

2021 Professional Liability Insurance Application and Exemption Form Submitted to LAWPRO

Name of Firm

Osler, Hoskin & Harcourt LLP

Please attach separately with the email

Osler Hoskin Harcourt LLP

2021 LawPro Renewal - Listing of Lawyers

Last Name, First Name	Office	LSUC #
Abbott, Mary	10	40320J
Aboud, Malcolm	10	64298D
Adams, Haley	10	79873O
Adler, Jordan	10	76892T
Ahmed, Firoz	10	26439J
Alacchi, Alexandra	10	79228P
Alfred, Glorie	10	55141G
Ali, Kristin	10	55441W
Anandan, Niveda	20	77890P
Anderson, Brett	10	62453F
Anzik, Natasha	10	79245P
Arella, Amanda	10	79247H
Armstrong, Stephen	10	80865M
Asif, Arshia	10	77558O
Atwal, Shalu	10	77559K
Austin, Mark	10	42421R
Ayub, Sahar	20	74453L
Aziz, Andrew	10	28334P
Aziz, Ramz	10	79254N
Bakhtiary, Shiva	20	74454H
Barnett, Chris	10	38098A
Barqawi, Abdalla	10	76504D
Barz, Evan	10	73125R
Basso, Andrew	10	79265D
Bayne, Chad	10	46269C
Beale, Alexis	10	65902W
Beaumont, Bob	10	26084Q
Begun, Dov	10	35765T
Bennett, Chris	10	44088P
Bernasek, Joyce	10	48004I
Biringer, Monica	10	25702O
Bjorkquist, Sonia	10	38973L
Black, John	10	35781A
Bocking, Cheri	10	59448P
Boctor, Andrea	10	48007T
Borins, Richard	10	37010A
Bouwman, Benjamin	10	79293Q
Boyd, Andrew	10	66200T
Breadon, Carla	10	74478C
Brown, James	10	55774I
Bruschetta, Lia	10	60041O
Bryce, Doug	10	39364P
Budziakowski, Tom	10	70239V
Buitenhuis, Graham	10	74931E
Burgoyne, Terry	10	22990H

Osler Hoskin Harcourt LLP

2021 LawPro Renewal - Listing of Lawyers

Last Name, First Name	Office	LSUC #
Callaghan, Patrick	10	36649N
Calvaruso, Martino	10	57359Q
Cao, Jennifer	10	74483Q
Cao, Taylor	10	72497S
Carson, Robert A.	10	57364H
Chan, Gawain	40	48898T
Chang, James	10	77593K
Cheng, May	10	33885V
Chin, Natasha	10	72167U
Choi, Eric	10	67705G
Chopra, Sahil	10	74954D
Chu, Danielle	10	78576V
Clarke Brice, Kellesha	10	74501Q
Clutterbuck, Alyssa	10	71902I
Cobb, Alexander	10	45363F
Code, Jacqueline	10	40398E
Cohen, Shari	10	69941A
Coleman, Allan	10	42007W
Comerford, Jason	40	41847W
Corona, Marisa	80	73478O
Cotter, John	10	28378V
Coulibaly, Sarrah	20	75919S
Cremers, Michael	90	74513C
Crew, Isabelle	10	76964T
Cui, Hanrui	20	73479K
Cymbalisty, Shawn	10	65468M
da Silva Aboo, Isaac	10	74256T
Dacks, Jeremy	10	41851R
Damodar, Miju	40	76118V
Danakas, Summer	10	74519B
Davachi, David	10	58867C
Davidge, Rod	10	43454V
de Grandpré, Vincent	10	47452E
De Lellis, Michael	10	48038U
D'Elia, Carrie	10	46808F
DesLauriers, Mark	10	23022E
Dharamdial, Justin	10	63997S
Di Cresce, Stella	10	51008O
Dick, Marleigh	10	79390S
Dickie, Steven	10	60411P
Disenhouse, Joshua	10	66256L
Dobson, Charles	10	56759L
Doherty, Terence	40	51631K
Dolman, Jennifer	10	32213F
Donald, Danna	10	49538E

Osler Hoskin Harcourt LLP

2021 LawPro Renewal - Listing of Lawyers

Last Name, First Name	Office	LSUC #
Donkor, Theresa	10	77625L
Dunn, Claire	10	74989L
Eftekharpour, Amir	10	74991O
Elliot, Parker	10	77962P
Emakpor, Tobor	10	56075Q
Erickson, Justine	10	70876S
Esaw, Kathryn	10	58264F
Fairfax, Jennifer	10	47621G
Fallon, Alex	80	56525T
Farkas, Elie	10	74998J
Fekete, Michael	10	34656U
Feng, Kevin	10	72208T
Fidler, Carly	10	60093W
Fine, Joanna	10	52830F
Fineblit, Josh	10	72865E
Firestone, Sarah	10	79441L
Fong, Barry	20	59157U
Fraiberg, Jeremy	10	43472R
Fric, Laura	10	36545Q
Frith, Andraya	10	40441G
Fullerton, Rick	10	40442C
Fung, Deron	10	77310V
Gelowitz, Mark	10	31857J
Gilchrist, Donald	10	24968P
Gillott, Roger	10	37816L
Gilmour, Emily	10	70299I
Gleason-Mercier, Catherine	10	64400A
Glendinning, Deborah	10	31070N
Gorka, Alex	10	58582R
Graham, Victoria	10	42842H
Grantmyre, Michael	10	62516H
Gray, Brian	10	58910E
Greenspoon, Mandy	10	61523C
Groenewegen, John	10	39084K
Gross, Wendy	10	34291K
Guccione, Daniel	20	77996E
Habib, Jessica	10	77665K
Hansford, Vanessa	10	59814J
Harper, Lauren	10	70606L
Hart, Michael	10	36723Q
Hartog, Adrian	10	24079D
Hawkins-Schulz, Kaleigh	40	77670B
Hay, Sandy	10	72242T
Hayes, Ryan	10	66303U
Hayward, Kojo	10	77672Q

Osler Hoskin Harcourt LLP

2021 LawPro Renewal - Listing of Lawyers

Last Name, First Name	Office	LSUC #
Heard, Joel	10	35905M
Heisey, William	10	79984R
Hemani, Zain	10	79515D
Hendry, Eric	10	74592E
Herr, Andrew	40	39095A
Hirsh, Adam H.	10	55239Q
Hnatchuk, Daniel	20	69645O
Hodgett, Simon	10	33292S
Hodgson, Alexandra	10	79525U
Holloway, Diana	10	75055I
Horton, Jennifer	10	74602C
Hughes, Timothy	10	42885R
Hunnisett, Geoff	10	57138G
Hunter, Rosalind	10	52558O
Innes, Michael	10	44578R
Ip, Sam	10	65550R
Irving, Shawn	10	50035U
Irwin, Andrew	10	70922I
Ivanoff, Paul	10	34315J
Jackson, Christine	10	60472V
Jamieson, David	10	60135R
Jeffrey, Jennifer	10	68453C
Joshi, Komil	10	72592F
Kakkar, Mani	10	65902W
Kaplan, Arielle	10	70627S
Kardash, Adam	10	35929H
Kenigsberg, Alan	10	44590O
Kim, Alyssa	10	80028M
King, Richard	10	38297H
Kingdom, Hannah	10	67815N
Kingissepp, Andrew	10	23758H
Kirsh, Marissa	10	65954H
Klyguine, Alex	20	65957S
Kovalev, Alexei	10	72928G
Kuzma, Kaeleigh	10	55271B
Lacoursiere, Lynne	10	63729D
Lally, Michelle	10	33337B
Lam, Mathieu N	20	78039O
Lando, Rob	40	32321U
Langen, Geoffrey	20	51973R
Larkin, Hayley Jean	10	74648A
Lastman, Bradley	10	79608K
Law, Tara	10	55278T
Lee, Chres	10	70956U
Lee, Desmond	10	40912Q

Osler Hoskin Harcourt LLP

2021 LawPro Renewal - Listing of Lawyers

Last Name, First Name	Office	LSUC #
Lee, Jasmyrn	10	75571I
Lee, Jonathan	10	65983W
Lepore, Adriano	10	75109M
Lin, Jeremy	10	73252B
Lin, Scott	10	79624O
Lipkus, Nathaniel	10	53761E
Litner, Paul	10	31161F
Liu, Ted	10	55289J
Lockwood, Craig	10	46668M
Lowenstein, Larry	10	23120C
Ludwin, Ilana	10	66679Q
Lunn, Faylene	20	62569L
MacDonald, John	10	25884R
MacDonald, Peter	20	47860M
MacDougall, Andrew	10	34775C
MacFarlane, John	10	32350G
Mack, Arlene	10	61605T
Maki, Lynnea	20	76673B
Malcolm, Sandra	10	75838U
Malik, Waleed	10	67846O
Mallett, Tristram	30	29582H
Mansour, Yael	20	80088W
Mantello, Lisa	10	48108F
Margeson, Adam	10	79655P
Marin, Jonathan	10	59873A
Marley, Patrick	10	39564S
Marmer, Steven	10	77732H
Marshall, Doug	10	29978S
Marshall, Gary	10	77733D
Mccallion, Alanna	10	76006W
McCammon, John	30	80092R
McCarthy, Ethan	10	67849C
McElhinney, Alana	10	75804I
McKean, Heather	10	22123C
McLennan Brown, Alexandra	10	79682J
McLeod, Sarah	10	70986C
Meghji, Al	10	30781O
Miao, Amelia	10	61625H
Mihailovich, Pooja	10	52376H
Mila, Laura	10	79695O
Milet, Matias	10	50271P
Milligan, Peter	10	23372N
Mishra, Lipi	10	72666U
Mitchell, Tim	20	60222C
Mochrie, Dominic	10	45475E

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Last Name, First Name	Office	LSUC #
Moffatt, Kelly	10	33391M
Morassutti, Paul	10	28573V
Morawetz, Colleen	10	77419V
Morier, Drew	10	45748D
Morissette, Julien	80	56352K
Morritt, David	10	24532F
Morse, Alix	40	64532K
Mpermeracis, Elizabeth	10	66321Q
Mulroy, Jillian	40	70076G
Munroe, Natalie	20	56290E
Murray, Blake	10	14905K
Murray, Chris	10	24798R
Nanfara, Chloe	10	79715G
Nanji, Al-Nawaz	10	47678R
Naudie, Chris	10	39596P
Nayar, Amit	10	64941O
Newell, Susan	10	58386V
Nielsen, Ryan	10	56422S
Nurmohamed, Sameer	10	65651D
Obal, Shelley	10	25480E
O'Brien, Kevin	10	51568U
O'Ferrall, Kelly	10	57533J
Opolko, Mary	80	71679O
Panford Walsh, Rama	20	80150Q
Paterson, Mary	10	51572P
Pavic, Sonja	10	64558U
Perey, André	10	38397U
Peters, Tom	10	70239V
Ponder, Dale	10	22180B
Popuri, Swetha	10	69862R
Porime, M. Horatiu	10	72353W
Poysa, Sven	10	52654U
Pressman, Manny	10	40622R
Putyra, Marty	10	75662A
Rai, Manvir	20	71035W
Raizenne, Robert	80	38799S
Ranger-Musiol, Julien	80	54513L
Rankin, David	10	63261P
Rauccio, Lindsay	10	59043G
Rees, Heidi	10	31261S
Reid, Aislinn	10	57238T
Rigolo, Damian	10	39250B
Ritchie, Lawrence	10	30076B
Ritchie, Matthew	10	66447Q
Roberts, Nancy	10	37989Q

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Rochkin, Marta	10	66062S
Rodal, Shuli	10	43164W
Rosenblat, David	10	64586K
Rotrand, Graeme	10	73027T
Rowe, Edward	30	45314I
Rowe, Mary Angela	10	68990J
Rubin, Cayda I	20	76725N
Rubin, Chelsea	10	79767O
Rubin, Erin	10	71045Q
Rusk, Katherine	90	72718J
Saban, Tina	10	77133R
Sachar, Karin	10	59944E
Sadikman, Jake	10	51150G
Salah, Kenza	20	76159Q
Sale, Elizabeth	10	49422V
Salloum, John	10	52374P
Samad, Yasir	10	75242G
Sandler, Tracy	10	32443N
Sargent, Brittany	10	67271H
Sathananthan, Gajan	10	73336K
Sbrocchi, Sandra	20	51151C
Schieman, D'Arcy	10	45796E
Schoenborn, Adam	10	75252A
Scott, Gillian	10	47928R
Sebastiano, Rocco	10	36080Q
Seevaratnam, David	10	55968B
Sharp, Sarah	10	75697I
Sheeley, Mark	10	66473O
Sheffield, Kai	10	71206Q
Sheridan, Christopher	10	62023R
Shin, Timothy	10	79784O
Sigurdson, Amy	10	77149K
Silverson, Jack	10	28657H
Simon, Melanie	10	72394R
Singh, Jagriti	10	77151N
Smith, Elliot	10	55384T
Smith, James	10	77819E
Smith, Marah	10	57579H
Smith, Roger	10	74807I
Snyder, Matthew	10	65717Q
St. John, Rachel	30	57925N
Stamp, David	10	33498U
Starostin, Konstantin	10	70463H
Stefanishin, Sergey	10	76390I
Stein, Lori	10	47359J

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Stidwill, Sean	20	71078J
Strachan, Thomas	10	78150F
Sunderji, Omar	10	72749K
Suppa, Olivia	10	78503G
Tam, Iris	10	41954S
Tasharofi, Masoud	10	67956V
Thomas, Evan	10	54447K
Thompson, Jennifer	10	56830D
Thomson, Jessica	10	75296G
Tilak, Hemant	10	27134B
Tomasich, Lauren	10	55400E
Traore, Tiye	10	80328F
Trattner, Paula	10	42153V
Turner, Frank	30	34920J
Valley, John	10	51179E
Vandenberg, Edward	20	40319C
Varlokostas, Sotiri	10	79829U
Versteegh, Lucas	10	72768C
Wallace, Lillian	20	67641I
Wanford, Matthew	20	59590H
Wasserman, Marc	10	44066M
Watts, Michael	10	32098D
Webster, Lee	10	21341N
Wells, Allan	10	38526A
Welsh, Patrick	10	60322P
White, Brad	20	40306U
White, Donna	20	24453W
White, Emery	10	80367I
Whitmore, Seth	10	70790R
Wong, Andrew	10	37392G
Wong, Richard	10	39333O
Wu, Jeremy	10	73429R
Wylie, Gregory	10	33559H
Yeung, Diana	10	57617S
Yeung, Sandy	10	48201A
Young, Sydney	10	65778W
Zilvytis, Charlie	10	72448V